PTO/SB/21 (05-03)

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August 4, 2003

Date

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		Application Number	09/902,953 -
TRANSMITTAL FORM to be used for all correspondence after initial filing)		Filing Date	July 11, 2001 Achim Krotz 1635
		First Named Inventor	Achim Krotz
		Art Unit	1635
		Examiner Name	J. Schultz
Number of Pages in This Submission	1	Attorney Docket Number	ISIC0002-100(ISIS-4797)
	ENCL	OSURES (check all that apply)	
ee Transmittal Form	Assign	ment Papers Application)	After Allowance Communication to Group
Fee Attached	☐ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences
mendment / Response	Licens	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition		Proprietary Information
Affidavits/declaration(s)		n to Convert to a ional Application	Status Letter
xtension of Time Request	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):
	Termin	nal Disclaimer	Statement Under 37 CFR 3.73(b)
xpress Abandonment Request	Request for Refund		with Table A attached; copy of recorded Assignment
nformation Disclosure Statement	CD, Number of CD(s)		
ertified Copy of Priority ocument(s)	Rema	ırks	
tesponse to Missing Parts/ acomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
	TURE OF	APPLICANT, ATTORNEY, C	OR AGENT
m Michael P. Strahe			
gnature Multiviff	·		
August 4, 2003			
	CE	RTIFICATE OF MAILING	
eby certify that this correspondence ce with sufficient postage as first andria. VA 22313-1450 on the date	is being fac class mail i	simile transmitted to the USPTC n an envelope addressed to: (or deposited with the United States Postal Commissioner for Patents, P.O. Box 1450,

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, DC 20231

Sir:

A to:

POWER OF ATTORNEY WITH REVOCATION AND CHANGE OF CORRESPONDENCE ADDRESS

TECH CENTER 1600/260 I hereby revoke all previous powers of attorney or authorizations of agent given in the applications identified in Table A attached hereto, and appoint

Practitioners at Customer Number: 34138 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the Correspondence Address for all applications identified in Table

Practitioners at Customer Number: 34138

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed.)

Respectfully submitted,

ISIS PHARMACEUTICALS, INC.

Date: 5-30-03

Name: B. Lynne Parshall Title: Executive Vice President

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, DC 20231

Sir:

STATEMENT UNDER 37 CFR 3.73(b)

Applicant, ISIS Pharmaceuticals, Inc., a corporation, states that it is:

the assignee of the entire right, title, and interest of the applications identified in Table A attached hereto.

The extent (by, percentage) of its ownership interest is 100% in the patent applications identified in Table A by virtue of:

a copy of the pertinent assignment from the inventor(s) of the patent applications identified in Table A is enclosed herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Respectfully submitted,

ISIS PHARMACEUTICALS, INC.

Date: 5-30-03

Name: B. Lynne Parshall

Title: Executive Vice President

FABLE A

Cook, Manoharan, Bennett Krotz, Mehta J.Schultz Krotz, Mehta J.Schultz 1635 Geary, Hardee Teng, Weinbach, Tillman, Geary, Hardee Teng, Cook, Tillman, Hardee, Ecker, Manoharan Mehta, Hardee, Cook, Herg, Cook, Tillman, J. Epps Teng, Cook, Tillman, Teng, Cook, Tillman, J. Epps	Compositions and Methods for the Delivery of Oligonucleotides via the Alimentary Canal	1636	W. Sandals	Teng, and Hardee	July 1, 1998	09/108,673
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz Io35 Krotz, Mehta J.Schultz Io35 Krotz, Mehta J.Schultz Io35 Io35 Krotz, Mehta J.Schultz Io35 Io35 Io35 Teng, Weinbach, Tillman, Hardee Teng, Cook, Tillman, Hardee, Ecker, Manoharan Mehta, Hardee, Cook, Hecker, Tsai, and Templin Teng, Cook, Tillman, J. Epps Io35 Io36 Io37 Io37 Io38 Io38 Io39 Io39	Delivery of Oligonucleotides			Hardee, Ecker and Manoharan		
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz Io35 Krotz, Mehta J.Schultz Io35 Krotz, Mehta J.Schultz Io35 Io35 Recary, Hardee Teng, Weinbach, Hardee Teng, Cook, Tillman, Hardee, Ecker, Manoharan Mehta, Hardee, Cook, Heker, Tsai, and Templin	Compositions and Methods for Non-Parenteral	1635	J. Epps	Teng, Cook, Tillman,	May 20, 1999	09/315,298
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Geary, Hardee Determine Teng, Weinbach, Hardee Teng, Cook, Tillman, Hardee, Ecker, Manoharan Mehta, Hardee, Cook, Becker, Tsai, and J. Not Yet Determine Metermined 1632 1635 1635 1635				Templin		
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Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Geary, Hardee Teng, Weinbach, Hardee Teng, Cook, Tillman, Hardee, Ecker, Manoharan	Compositions and Methods for Topical	1635	M. Schmidt	Mehta, Hardee, Cook,	May 20, 1999	09/315,294
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Geary, Hardee Teng, Weinbach, Hardee Teng, Cook, Tillman, Hardee, Ecker, J.N. Fredman J.Schultz J.Schultz 1635				Manoharan		
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Geary, Hardee Teng, Weinbach, Hardee Teng, Cook, Tillman, J. Epps 1635	Delivery of Oligonucleoudes			Hardee, Ecker,	2001	
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Geary, Hardee Determine Tillman, Geary, Hardee Oz Teng, Weinbach, Hardee Hardee	Compositions and Methods for Non-Parenteral	1635	J. Epps	Teng, Cook, Tillman,	December 21,	10/029,598
Cook, Manoharan, Bennett Krotz, Mehta J.Schultz 1635 Krotz, Mehta J.Schultz 1635 Output Geary, Hardee Determine Tillman, Geary, Hot yet Tillman, Geary, determined				Hardee		
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Not Yet 1615 Geary, Hardee Determine Teng, Weinbach, Not yet 1632	Enhanced Intestinal Drug Absorption		determined	Tillman, Geary,	•	
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Not Yet 1615 Geary, Hardee Determine	Bioadhesive Compositions and Methods for	1632	Not yet	Teng, Weinbach,	Auguat 22, 2002	09/935,316
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635 Weinbach, Tillman, Not Yet 1615	for Enhanced Intestinal Drug Absorption		Determine	Geary, Hardee	•	
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635	Pulsatile Release Compositions and Methods	1615	Not Yet	Weinbach, Tillman,	August 22, 2001	09/944,493
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635	Antioxidants					
Cook, Manoharan, Bennett Krotz, Mehta J.N. Fredman 1637 J.Schultz 1635	Linkages by addition of Water-Soluble					
Cook, Manoharan, J.N. Fredman 1637 Bennett Krotz, Mehta J.Schultz 1635	Oligonucleotides Comprising Phosphorothioate			•		
Cook, Manoharan, J.N. Fredman 1637 Bennett	Enhancement of the Stability of	1635	J.Schultz	Krotz, Mehta	July 11, 2001	09/902.953
Cook, Manoharan, J.N. Fredman 1637	Oligonucleotides in Mammals					
Cook, Manoharan, J.N. Fredman 1637	Biostability and Altered Biodistribution of			Bennett	•	
	Compositions and Methods for Enhanced	1637	J.N. Fredman	Cook, Manoharan,	April 10, 2000	09/546.596
INVENTORS EXAMINER ART UNIT	TITLE	ART UNIT	EXAMINER	INVENTORS	FILING DATE	SERIAL NO.



Juc /52/

PTAS - WCCY COULT VALIDOURN KURTZ Budday L. C. No. ric LLP

Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov

WOODCOCK WASHBURN LLP EMMA R. DAILEY ONE LIBERTY PLACE - 46TH FLOOR PHILADELPHIA, PENNSYLVANIA 19103-7301



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RECORDATION DATE: 02/19/2002

REEL/FRAME: 012622/0800

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KROTZ, ACHIM

DOC DATE: 11/30/2001

ASSIGNOR:

MEHTA, RAHUL

DOC DATE: 12/07/2001

ASSIGNEE:

ISIS PHARMACEUTICALS, INC. 2292 FARADAY AVENUE CARLSBAD, CALIFORNIA 92008

SERIAL NUMBER: 09902953

PATENT NUMBER:

FILING DATE: 07/11/2001

ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

APR 2 9 2002

DOCKET DEPT.

2/19/2 03-06-2002



HEET

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T The Honorabl Commissioner of Paterns a	10200296	ase record the attach dioriginal documents or copy the reof.	
1. Name of conveying party(ies):		2. Nam and address of receiving party(i s):	
Achim Krotz Nov	vemb r 30, 2001	Name: ISIS Pharmaceuticals, Inc.	
	Date of Execution		
Rahul Mehta De	2001	Street Address: 2292 Faraday Avenue	
Second Assignor	Date of Execution	City: Carlsbad	
•			
Third Assignor	Date of Francisco	State California ZIP 92008	
Third Assignor	Date of Execution	Additional name(s) & address attached? ()YES (X) NO	
Fourth Assignor	Date of Execution	3. Nature of Conveyance (check only one):	
		(X) New Assignment () Merger	
Fifth Assistance	Data of Everytics	() License Agreement () Security Agreement	
Fifth Assignor	Date of Execution	() Change of Name () Other:	
		()Cross-reference of Assignment filed in United States	
Sixth Assignor	Date of Execution	Application No/,, recorded on at	
		Reel Noand Frame No	
Additional name(s) of conveying party(ies) attached	d? () YES (X) NO		
4. Identification of Application number(s), pa	atent number(s) OR c	late of execution of application to which assignment refers:	
Application Nos.:	Patent Nos.:	Date application was signed by the first	
		named executing inventor	
00/002 052 Kird July 11, 2001			
09/902,953 filed July 11, 2001			
		(Fill-in this date only if assignm nt is	
		filed together with a new application)	
5. Name and address of party to whom corre	espondence		
conc rning documents should be mailed:		6. Total number of applications and patents involv d: 1	
Nam : Emma R. Dailey			
WOODCOCK WASHBURN LLP		7. Total fee (37 CFR 3.41):\$40.00	
One Liberty Place - 46th Floor		(X) Enclosed () Authorized to be charged to Deposit Account	
Philadelphia, Pennsylvania 19103-7301 215-568-3100		Number 23-3050	
3/05/2002 TDIAZ1 00000225 09902953		8. Please charge any deficiency or credit any	
1 FC:581 40.00 OP		overpayment to Deposit Account Number 23-3050	
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	foregoing information	n/s true and gorrect and any attached copy is a true copy of	
the original document.			
Emma R. Dailey/48,491		January 30, 2002	
Nam f Person Signing/Reg. No.	Signa		
Total number of pages including on	v reh t sesianmen	nt docum nt and additional pag s attach d th r to: 5	
Mail documents to be recorded with required cover sheet i		t docum nt and additional pay 5 attach u th 1 to 5	
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	Box Assig Washington,		

DOCKET NO.: ISIS-4797 PATENT

ASSIGNMENT

WHEREAS, we, Achim Krotz of San Diego, California and Rahul Mehta of San Marcos, California, have invented a certain invention entitled Enhancement of the Stability of Oligonucleotides Comprising Phosphorthioate Linkages by Addition of Water-Soluble Antioxidants, for which we have made an application for Letters Patent of the United States, said application having been filed July 11, 2001 and assigned Application No. 09/902,953; and

WHEREAS, ISIS Pharmaceuticals, Inc. hereinafter referred to as the assignee, of 2292 Faraday Avenue, Carlsbad CA, a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

DOCKET NO.: ISIS-4797 PATENT

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

Dated: 11/30	, 20_01	fin that
		Achim Krotz
State of California)	
County of San Diego) ss.	

On this ______ day of ______ (200], before me, the undersigned, a Notary Public for the state, personally appeared Achim Krotz, personally known to me/proved to me on the basis of satisfactory evidence to be the person who subscribed the foregoing Assignment and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the Assignment the person, or the entity on behalf of which the person acted, executed the Assignment.

Signature of Notary

LANE B.D. SCHWARTZ
Commission # 1196197
Notary Public - California
San Diego County
My Comm. Expires Sep 13, 2002

WITNESS my hand and official seal.

DOCKET NO.: ISIS-4797 PATENT

LANE B.D. SCHWARTZ Commission # 1196197 Notary Public - California San Diego County WITNESS my hand and official seal.

ignature of Notary

RECORDATION FORM COVER SHEET AUG O G 2003 e record the attach d original d cuments or copy ther one 2. Name and addr ss f receiving party(ies): Name: ISIS Pharmaceuticals, Inc. Street Address: 2292 Faraday Avenue **ECH CENTER 16: **TON PATENTS ONLY C mmissioner of Patents and Trademarks. Please record the attach d original d cuments or copy ther of. 1. Nathable nv ying party(ies): November 30, 2001 Achim Krotz **Date of Execution** First Assignor December 7, 2001 Rahul Mehta Date of Execution Sec nd Assignor California ZIP 92008 State **Date of Execution** Third Assignor Additional name(s) & address attached? ()YES_(X) NO 3. Nature of Conveyance (check only one): Date of Execution Fourth Assignor (X) New Assignment () Merger () Security Agre ment () License Agreement **Date of Execution** Fifth Assignor () Other: () Change of Name ()Cross-reference of Assignment filed in United States Date of Execution Sixth Assignor Application No._/__,_, recorded on_____ at Reel No. and Frame No. Additional name(s) of conveying party(ies) attached? () YES (X) NO 4. Id ntification of Application number(s), patent number(s) OR date of execution of application to which assignment r fers: Date application was signed by the first Patent Nos.: Application Nos.: named executing inventor 09/902.953 filed July 11, 2001 (Fill-in this date only if assignment is filed together with a new application) 5. Name and address of party to whom correspondence c ncerning documents should be mailed: Name: Emma R. Dailey 7. Total fee (37 CFR 3.41):.....\$40.00 WOODCOCK WASHBURN LLP (X) Enclosed On Liberty Place - 46th Floor () Authorized to be charged to Deposit Account Philadelphia, Pennsylvania 19103-7301 Number 23-3050 215-568-3100

- 6. Total number of applications and patents involved: 1
- 8. Please charge any deficiency or credit any overpayment to Deposit Account Number 23-3050

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Statement and signature.		
To the best of my knowledge and be	elief, the foregoing information is true and correct and any	attached copy is a true copy of
the original document.		
	The The Day	January 30, 2002

Emma R. Dailey/48,491 Name of Pers n Signing/Reg. No.

Date

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